

US009463036B2

(12) United States Patent Brady et al.

(54) CLOT ENGAGEMENT AND REMOVAL SYSTEM

(75) Inventors: Eamon Brady, Loughrea (IE); Michael

Gilvarry, Headford (IE); Mahmood K. Razavi, Irvine, CA (US); David Vale,

Barna (IE)

(73) Assignee: Neuravi Limited, Galway (IE)

(*) Notice: Subject to any disclaimer, the term of this

patent is extended or adjusted under 35

U.S.C. 154(b) by 258 days.

(21) Appl. No.: 13/823,048

(22) PCT Filed: Oct. 21, 2011

(86) PCT No.: **PCT/IE2011/000057**

§ 371 (c)(1),

(2), (4) Date: Mar. 13, 2013

(87) PCT Pub. No.: WO2012/052982

PCT Pub. Date: Apr. 26, 2012

(65) Prior Publication Data

US 2013/0197567 A1 Aug. 1, 2013

Related U.S. Application Data

(60) Provisional application No. 61/344,848, filed on Oct. 22, 2010.

(51) Int. Cl.

A61M 29/00(2006.01)A61B 17/221(2006.01)A61B 17/22(2006.01)

(52) U.S. Cl.

CPC *A61B 17/221* (2013.01); *A61B 2017/2212* (2013.01); *A61B 2017/2217* (2013.01); *A61B 2017/22034* (2013.01); *A61B 2017/22094* (2013.01)

(10) Patent No.: US

US 9,463,036 B2

(45) **Date of Patent:**

Oct. 11, 2016

(58) Field of Classification Search

CPC A61B 17/221; A61B 2017/2212;

A61B 2017/22034

See application file for complete search history.

(56) References Cited

U.S. PATENT DOCUMENTS

4,793,348 A 12/1988 Palmaz 4,873,978 A 10/1989 Ginsburg

(Continued)

FOREIGN PATENT DOCUMENTS

DE 202009001951 U1 4/2010 DE 102009056450 A1 6/2011

(Continued)

OTHER PUBLICATIONS

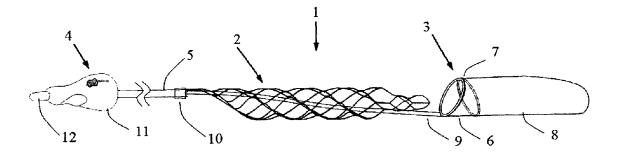
US 6,348,062, 02/2002, Hopkins et al. (withdrawn) (Continued)

Primary Examiner — Gregory Anderson
Assistant Examiner — Son Dang
(74) Attorney, Agent, or Firm — Bookoff McAndrews,
PLLC

(57) ABSTRACT

A clot retrieval apparatus 1 comprises a clot engaging device 2 and a capture basket 3. The clot engaging device 2 has a collapsed delivery configuration and an expanded deployment configuration. The clot engaging device has a proximal end and a distal end and an elongate body between the proximal end and the distal end. The capture basket 3 has a collapsed delivery configuration and an expanded deployment configuration. The capture basket 3 has a proximal mouth which is open when the capture basket 3 is in the deployment configuration. The clot engaging device 2 is axially movable relative to the capture basket for capture of a clot.

20 Claims, 5 Drawing Sheets

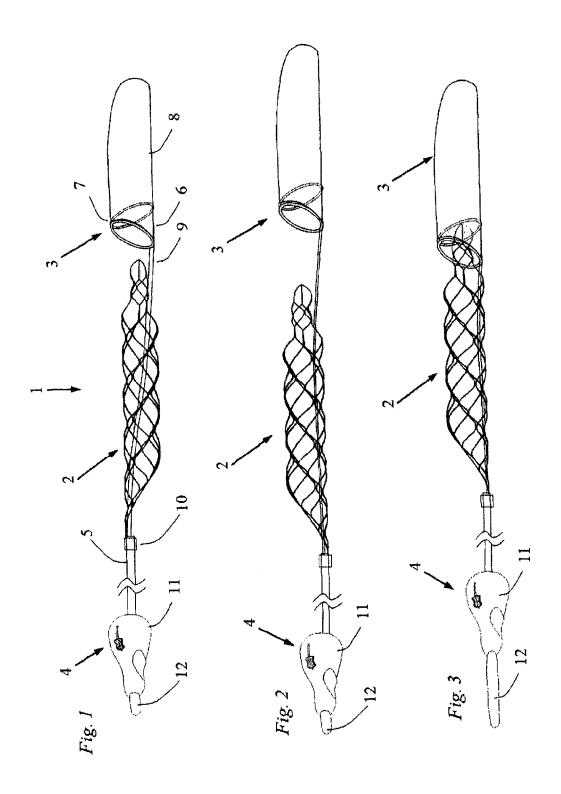


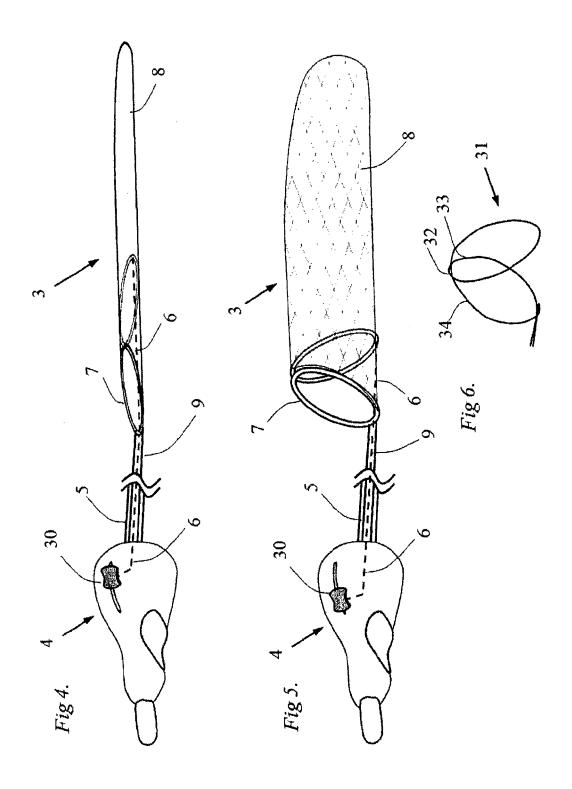
(56)		Referen	ces Cited	6,540,768			Diaz et al.
	II S	DATENIT	DOCUMENTS	6,544,279 6,551,341			Hopkins Boylan et al.
	0.3.	PATENT	DOCOMENTS	6,551,342			Shen et al.
	5,011,488 A	4/1991	Ginsburg	6,575,997	B1		Palmer et al.
	5,092,839 A	3/1992	Kipperman	6,582,448		6/2003	
	5,122,136 A		Guglielmi et al.	6,585,756 6,589,265			Strecker Palmer et al.
	5,171,233 A 5,234,437 A		Amplatz Sepetka	6,592,607			Palmer et al.
	5,387,219 A	2/1995		6,592,616	B1	7/2003	
	5,387,226 A	2/1995		6,602,271		8/2003	
	5,449,372 A		Schmaltz	6,602,272 6,610,077			Boylan et al. Hancock et al.
	5,538,512 A 5,549,626 A		Zenzon et al. Miller et al.	6,616,679			Khosravi
	5,558,652 A	9/1996		6,632,241	B1	10/2003	Hancock et al.
	5,609,627 A	3/1997	Goicoechea et al.	6,638,245		10/2003	
	5,624,461 A		Mariant	6,638,293 6,641,590			Makower et al. Palmer et al.
	5,639,277 A 5,645,558 A	6/1997 7/1997	Mariant Horton	6,656,218			Denardo et al.
	5,658,296 A	8/1997		6,660,021	B1		Palmer et al.
	5,695,519 A		Summer et al.	6,663,650		12/2003	
	5,709,704 A		Nott et al.	6,673,089 6,685,722			Yassour et al. Rosenbluth
	5,713,853 A 5,769,871 A	2/1998 6/1998	Mers Kelly	6,692,504			Kurz et al.
	5,779,716 A	7/1998		6,692,508	B2		Wensel
	5,810,874 A		Lefebvre	6,692,509			Wensel
	5,814,064 A		Daniel et al.	6,702,782 6,712,834		3/2004 3/2004	Yassour et al.
	5,827,304 A 5,855,598 A	10/1998	Pinchuk	6,726,701			Gilson et al.
	5,893,869 A		Barnhart et al.	6,730,104			Sepetka
	5,895,398 A	4/1999	Wensel	6,824,545		11/2004	
	5,897,567 A		Ressemann	6,855,155 6,878,163			Denardo et al. Denardo et al.
	5,904,698 A 5,911,725 A	5/1999 6/1999	Thomas et al.	6,890,340		5/2005	
	5,935,139 A	8/1999		6,913,612		7/2005	
	5,947,995 A	9/1999	Samuels	6,913,618			Denardo et al.
	6,063,113 A		Kavteladze	6,953,472 6,989,019			Palmer et al. Mazzocchi
	6,066,149 A 6,066,158 A		Samson et al. Engelson	6,994,718			Groothuis et al.
	6,093,196 A	7/2000		7,004,954			Voss et al.
	6,093,199 A		Brown et al.	7,004,955 7,004,956		2/2006	Shen Palmer
	6,096,053 A 6,099,534 A	8/2000 8/2000		7,004,930			Kurz et al.
	6,102,932 A	8/2000		7,033,376			Tsukernik
	6,106,548 A		Roubin et al.	7,041,116		5/2006	
	6,129,739 A		Khosravi	7,048,758 7,058,456		5/2006 6/2006	
	6,146,404 A 6,165,194 A	11/2000	Nim Denardo	7,063,707		6/2006	
	6,165,199 A	12/2000		7,175,655		2/2007	
	6,168,604 B1	1/2001		7,179,273			Palmer et al.
	6,179,861 B1		Khosravi	7,220,271 7,229,472		5/2007 6/2007	DePalma et al.
	6,203,561 B1 * 6,214,026 B1	3/2001 4/2001	Ramee et al 606/200	7,288,112			Denardo et al.
	6,221,006 B1			7,306,618	B2		Demond
	6,238,412 B1	5/2001	Dubrul	7,316,692			Huffmaster
	6,245,087 B1	6/2001		7,323,001 7,331,976		1/2008 2/2008	McGuckin, Jr. et al.
	6,251,122 B1 6,254,571 B1	7/2001	Tsukernik Hart	7,344,550	B2	3/2008	Carrison et al.
	6,264,663 B1	7/2001		7,399,308	B2		Borillo et al.
	6,312,444 B1	11/2001		7,410,491 7,452,496			Hopkins Brady et al.
	6,325,819 B1 6,336,934 B1		Pavcnik et al. Gilson et al.	7,432,490			Vale et al.
	6,348,056 B1	2/2002		7,491,216		2/2009	Brady
	6,350,271 B1		Kurz et al.	7,510,565			Gilson et al.
	6,361,545 B1		Macoviak	7,534,252 7,556,636			Sepetka Mazzocchi
	6,383,205 B1 6,383,206 B1	5/2002	Samson et al.	7,582,111		9/2009	
	6,402,771 B1	6/2002		7,594,926	B2	9/2009	Linder
	6,416,541 B2	7/2002	Denardo	7,604,649			McGuckin, Jr. et al.
	6,425,909 B1		Dieck et al.	7,618,434 7,662,165		2/2010	Santra Gilson et al.
	6,432,122 B1 6,436,112 B2		Gilson et al. Wensel	7,670,356			Mazzocchi
	6,458,139 B1	10/2002	Palmer	7,691,121		4/2010	Rosenbluth
	6,485,497 B2	11/2002		7,691,124			Balgobin
	6,485,501 B1	11/2002		7,708,770		5/2010	
	6,485,502 B2 6,511,492 B1		Don Michael Rosenbluth	7,736,385 7,766,934		6/2010 8/2010	
	6,530,935 B2		Wensel	7,771,452		8/2010	
	6,530,939 B1		Hopkins	7,780,694		8/2010	
	•						

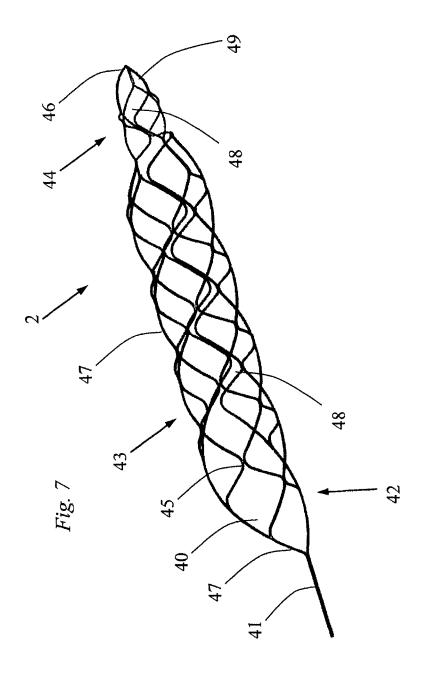
(56)	Referen	ices Cited	2001/0051810		12/2001	
ZII	PATENT	DOCUMENTS	2002/0016609 2002/0022859			Wensel Hogendijk
0.5	. 17111/11	DOCOMENTO	2002/0026211			Khosravi
7,828,815 B2	11/2010	Mazzocchi	2002/0049468			Streeter
7,846,175 B2		Bonnette et al.	2002/0052620 2002/0068954		5/2002 6/2002	
7,846,176 B2		Gilson et al.	2002/0008934			Sepetka
7,850,708 B2 7,887,560 B2	12/2010	Pai Kusleika	2002/0082558			Samson
7,901,426 B2		Gilson et al.	2002/0091407			Zadno-Azizi et al.
7,914,549 B2	3/2011	Morsi	2002/0095171		7/2002	
7,922,732 B2		Mazzocchi	2002/0123765 2002/0143362			Sepetka Macoviak et al.
7,927,784 B2 7,931,659 B2		Simpson Bose et al.	2002/0156455		10/2002	
7,998,165 B2		Huffmaster	2002/0161393			Demond et al.
8,002,822 B2		Glocker et al.	2002/0165576			Boyle et al.
8,021,379 B2		Thompson et al.	2002/0173819 2002/0188276		11/2002	Leeflang et al.
8,021,380 B2 8,043,326 B2		Thompson et al. Hancock et al.	2003/0004536		1/2002	Boylan et al.
8,052,640 B2		Fiorella et al.	2003/0004538		1/2003	Secrest
8,057,497 B1		Raju et al.	2003/0004542			Wensel
8,066,757 B2		Ferrera et al.	2003/0009146 2003/0009191		1/2003	Muni Wensel
8,070,791 B2		Ferrera et al. Ferrera et al.	2003/0009191			Hyodoh et al.
8,088,140 B2 8,100,935 B2		Rosenbluth et al.	2003/0050663		3/2003	Khachin
8,109,941 B2		Richardson	2003/0125798		7/2003	
8,118,829 B2		Carrison et al.	2003/0144687 2003/0153943			Brady et al. Michael et al.
8,123,769 B2		Osborne Palmer et al.	2003/0153943		8/2003	
8,137,377 B2 8,142,422 B2		Makower et al.	2003/0163064		8/2003	
8,142,442 B2		Palmer et al.	2003/0163158		8/2003	
8,182,508 B2		Magnuson et al.	2003/0171769 2003/0176884		9/2003	
8,187,298 B2	5/2012		2003/01/6884 2003/0195537		10/2003	Berrada et al.
8,246,641 B2 8,246,672 B2		Osborne et al. Osborne	2003/0195554		10/2003	
8,252,017 B2		Paul, Jr. et al.	2003/0199917			Knudson
8,252,018 B2	8/2012	Valaie	2003/0204202		10/2003	
8,357,178 B2		Grandfield et al.	2003/0212430 2003/0236533		11/2003 12/2003	
8,357,179 B2 8,361,095 B2		Grandfield et al. Osborne	2004/0068288			Palmer et al.
8,366,663 B2		Fiorella et al.	2004/0073243			Sepetka
8,409,215 B2		Sepetka et al.	2004/0079429		4/2004	
8,419,748 B2	4/2013		2004/0082962 2004/0093065			Demarais et al. Yachia et al.
8,460,312 B2 8,460,313 B2		Bose et al. Huffmaster	2004/0133231			Maitland
8,486,104 B2		Samson et al.	2004/0138692		7/2004	Phung et al.
8,529,596 B2	9/2013	Grandfield et al.	2004/0153118		8/2004	
8,574,262 B2		Ferrera et al.	2005/0033348 2005/0038468		2/2005	Sepetka Panetta et al.
8,579,915 B2 8,585,713 B2		French et al. Ferrera et al.	2005/0049619			Sepetka
8,608,761 B2		Osborne et al.	2005/0049669	A1	3/2005	Jones
8,679,142 B2	3/2014	Slee et al.	2005/0049670			Jones et al.
8,696,622 B2		Fiorella et al.	2005/0055033 2005/0055047			Leslie et al. Greenhalgh
8,702,652 B2 8,702,724 B2		Fiorella et al. Olsen et al.	2005/0059995			Sepetka
8,784,434 B2		Rosenbluth et al.	2005/0085849	Al	4/2005	Sepetka
8,784,441 B2		Rosenbluth et al.	2005/0090857			Kusleika et al.
8,795,305 B2		Martin et al.	2005/0125024 2005/0171566			Sepetka Kanamaru
8,795,317 B2 8,814,925 B2		Grandfield et al. Hilaire et al.	2005/0216030			Sepetka
8,900,265 B1		Ulm, III	2005/0216050			Sepetka
8,939,991 B2		Krolik et al.	2005/0228417			Teitelbaum et al.
8,945,143 B2		Ferrera et al.	2005/0267491 2005/0288686		12/2005	Kellett et al 606/113
8,945,172 B2 8,968,330 B2		Ferrera et al. Rosenbluth et al.	2006/0009799			Kleshinski et al.
9,039,749 B2		Shrivastava et al.	2006/0058836	A1	3/2006	
9,072,537 B2		Grandfield et al.	2006/0058837		3/2006	
9,113,936 B2		Palmer et al.	2006/0058838 2006/0064151		3/2006 3/2006	Guterman et al.
9,119,656 B2 9,138,307 B2		Bose et al. Valaie	2006/0004131			Freudenthal
9,158,507 B2 9,155,552 B2		Ulm, III	2006/0161187			Levine et al.
9,161,766 B2	10/2015	Slee et al.	2006/0195137			Sepetka
9,173,668 B2		Ulm, III	2006/0224177		10/2006	
9,186,487 B2 9,198,687 B2		Dubrul et al. Fulkerson et al.	2006/0224179 2006/0229638			Kucharczyk Abrams et al.
9,198,087 B2 9,204,887 B2		Cully et al.	2006/0229638		12/2006	
9,211,132 B2		Bowman	2006/0287701		12/2006	
2001/0001315 A1	5/2001		2007/0088383			Pal et al.
2001/0016755 A1	8/2001	Addis	2007/0156170	A1	7/2007	Hancock

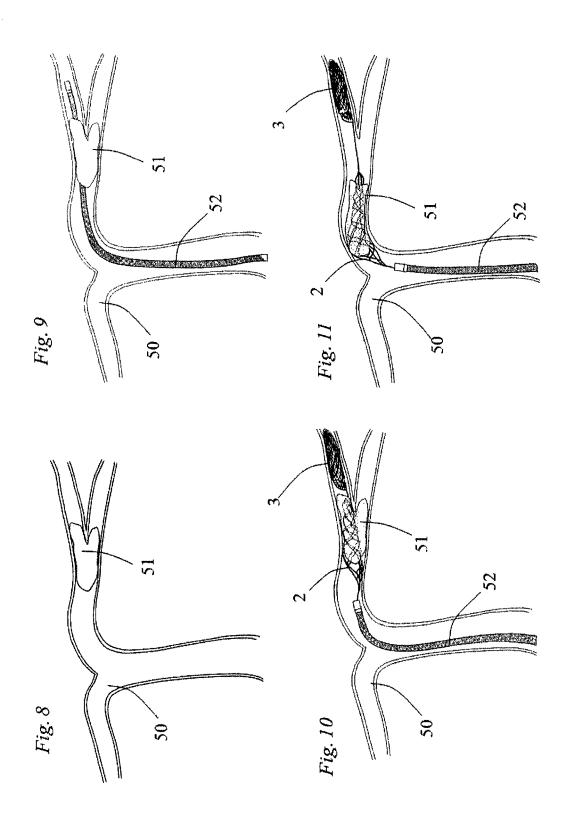
(56)		Referen	ces Cited		0089216 A1		Rapaport et al.
	11.0	DATENIT	DOCUMENTS		0143237 A1 0150147 A1		Cam et al. Leynov et al.
	U.S.	PALENT	DOCUMENTS		0165858 A1		Eckhouse et al.
2007/0165170	A1	7/2007	Fukuda		0165859 A1		Eckhouse et al.
2007/0191866			Palmer et al.		0277788 A1		Cattaneo
2007/0198028			Miloslavski		0296362 A1		Cam et al.
2007/0198051			Clubb et al.		0030461 A1 0046330 A1	1/2013	Marks et al. McIntosh et al.
2007/0198075 2007/0208367		8/2007	Fiorella		0046333 A1	2/2013	
2007/0208307			French	2013/0	0046334 A1	2/2013	Jones et al.
2007/0225749			Martin		0116774 A1	5/2013	
2007/0244505			Gilson et al.		0144326 A1 0158592 A1	6/2013	Brady et al.
2007/0270902 2008/0045881			Slazas et al. Teitelbaum et al.		0184739 A1		Brady et al.
2008/0043881			Miller et al.		0226146 A1	8/2013	Tekulve
2008/0086190		4/2008			0268050 A1		Wilson et al.
2008/0091223			Pokorney		0281788 A1 0325055 A1		Garrison Eckhouse et al.
2008/0109031 2008/0109032			Sepetka Sepetka		0325055 A1		Eckhouse et al.
2008/0109032			Greenhalgh et al.		0345739 A1		Brady et al.
2008/0177296			Sepetka		0046359 A1		Bowman et al.
2008/0183197			Sepetka		0121672 A1	5/2014	Folk Molaei
2008/0183198			Sepetka		0128905 A1 0180377 A1		Bose et al.
2008/0183205 2008/0188876			Sepetka Sepetka		0194919 A1		Losordo et al.
2008/0188885			Sepetka		0200608 A1		Brady et al.
2008/0200946		8/2008			0236220 A1	8/2014	
2008/0215077			Sepetka		0257362 A1 0276922 A1	9/2014	Eidenschink McLain et al.
2008/0221600 2008/0228209			Dieck et al. DeMello et al.		0277079 A1		Vale et al.
2008/0228209			Sepetka	2014/0	0309657 A1	10/2014	Ben-Ami
2008/0243170	A1	10/2008			0309673 A1		Dacuycuy et al.
2008/0255596	A1*	10/2008	Jenson A61B 17/221		0330302 A1 0343585 A1	11/2014	Tekulve et al. Ferrera et al.
2008/0262528	A 1	10/2008	606/159 Mortin		0371769 A1		Vale et al.
2008/0262528		10/2008			0371779 A1		Vale et al.
2008/0275488			Fleming		0371780 A1	12/2014	
2008/0275493			Farmiga		0379023 A1 0018859 A1	12/2014	Brady et al. Quick et al.
2008/0312681		1/2008	Ansel Anukhin		0018859 A1		Quick et al.
2009/0024157 2009/0069828		3/2009			0080937 A1		Davidson
2009/0076539		3/2009			0112376 A1	4/2015	Molaei et al.
2009/0105722			Fulkerson		0133990 A1		Davidson Brady et al.
2009/0105737			Fulkerson		0164523 A1 0250497 A1	9/2015	Marks et al.
2009/0292297 2009/0292307		11/2009 11/2009			0257775 A1	9/2015	
2009/0299393		12/2009			0297252 A1	10/2015	
2009/0306702			Miloslavski		0313617 A1 0320431 A1	11/2015	Grandfield et al. Ulm, III
2010/0004607			Wilson et al.		0352325 A1	12/2015	Quick
2010/0087908 2010/0114017		4/2010 5/2010	Lenker		0359547 A1	12/2015	Vale et al.
2010/0125326			Kalstad		0374391 A1	12/2015	
2010/0125327		5/2010	Agnew		0374393 A1 0374479 A1	12/2015	Brady et al.
2010/0191272 2010/0211094			Keating Sargent, Jr.		0015402 A1		Brady et al.
2010/0211094			Bonnette et al 606/200		0022296 A1	1/2016	Brady et al.
2010/0268265			Krolik et al.		0066921 A1	3/2016	
2010/0318178			Rapaport et al.		0106448 A1 0106449 A1		Brady et al. Brady et al.
2010/0331949 2011/0009875		1/2010	Habib Grandfield et al.		0113663 A1		Brady et al.
2011/0009873			Grandfield et al.		0113664 A1	4/2016	
2011/0022149	A1		Cox et al.		0113665 A1		Brady et al.
2011/0054504		3/2011			0120558 A1 0143653 A1		Brady et al. Vale et al.
2011/0054514 2011/0054516			Arcand Keegan		0192953 A1	7/2016	
2011/0034310			Hannes		0192954 A1	7/2016	Brady et al.
2011/0125181	$\mathbf{A}1$	5/2011	Brady et al.		0192955 A1		Brady et al.
2011/0152920			Eckhouse et al.	2016/0	0192956 A1	7/2016	Brady et al.
2011/0160763 2011/0166586			Ferrera et al. Sepetka et al.		EODEIG	AL DATE	NT DOOLD TENTO
2011/0106386			Porter et al.		FOREIC	IN PATE	NT DOCUMENTS
2011/0202088		8/2011	Eckhouse et al.	DE	10201001	0849 A1	9/2011
2011/0213403			Aboytes	DE	10 2010 01		10/2011
2011/0224707			Miloslavski et al.	DE	10201002	4085 A1	12/2011
2011/0276120 2012/0041449			Gilson et al. Eckhouse et al.	DE EP	10201101		9/2012 11/2011
2012/0041474			Eckhouse et al.	EP EP		1450 B1 8455 A1	2/2013
2012/0059356			di Palma et al.	JP		9438 A	1/1997

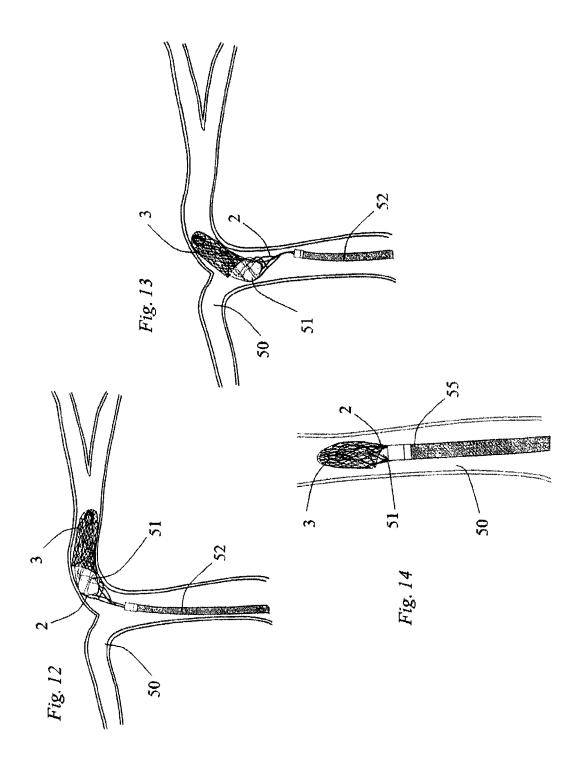
(56)	Reference	ces Cited	WO WO	WO 2010/075565 A: WO 2010/102307 A						
	EOREIGN PATEN	NT DOCUMENTS	wo	WO 2010/102507 A WO 2010/146581 A						
	TOKEIGNTAILE	11 DOCUMENTS	WO	WO 2011/066961 A						
WO	WO 94/24926	11/1994	WO	WO 2011/082319 A						
WO	WO 97/27808	8/1997	WO	WO 2011/095352 A	1 8/2011					
WO	WO 97/38631 A1	10/1997	WO	WO 2011/110316 A	1 9/2011					
wo	WO 99/20335	4/1999	WO	WO 2011/135556 A	1 11/2011					
WO	WO 99/60933	12/1999	WO	WO 2012/052982 A	1 4/2012					
WO	WO 99/56801	4/2000	WO	WO 2012/064726 A						
WO	WO 01/21077	3/2001	WO	WO 2012/081020 A						
WO	WO 02/02162	1/2002	WO	WO 2012/120490 A						
WO	WO 02/11627	2/2002	WO	WO 2012/110619 A						
WO	WO 02/43616	6/2002	WO	WO 2013/016435 A						
WO	WO 02/070061	9/2002	WO	WO 2013/072777 A						
WO	WO 02/094111	11/2002	WO	WO 2013/105099 A						
WO	WO 03/002006	1/2003	WO	WO 2013/109756 A						
WO	WO 03/030751	4/2003	WO	WO 2014/081892 A						
WO	WO 03/051448	6/2003	WO	WO 2014/139845 A						
WO	WO 2004/028571 A2	4/2004	WO	WO 2014/169266 A						
WO	WO 2004/056275 A1	7/2004	WO	WO 2014/178198 A						
WO	WO 2005/000130 A1	1/2005	WO	WO 2015/061365 A						
WO	WO 2005/027779 A2	3/2005	WO	WO 2015/134625 A						
WO	WO 2006/021407	3/2006	WO	WO 2015/179324 A						
WO	WO 2006/031410	3/2006	WO	WO 2016/010995 A	1 1/2016					
WO	WO 2006/107641	10/2006								
WO	WO 2006/135823 A2	12/2006		OTHER P	UBLICATION:	S				
WO	WO 2007/054307	5/2007		01111111	obbien in ion.	9				
WO	WO 2007/068424	6/2007	Interna	tional Search Report o	f PCT/IE2011/00	00057 dated Feb. 3				
WO	WO 2008/034615	3/2008		donar search resport o	1 1 € 1/162011/00	oos and res. s,				
WO	WO 2008/051431 A1	5/2008	2012.							
WO	WO 2008/131116	10/2008		tional Search Report of	PC1/IE2012/000	0011, dated Oct. 10,				
WO	WO 2009/031338 A1	3/2009	2012, 3	3 pages.						
WO	WO 2009/076482	6/2009	Written Opinion and International Search Report, dated Jul. 27,							
WO	WO 2009/076482 A1	6/2009	2011, 1	2011, from international Application No. PCT/IE2011/000026, 7						
WO	WO 2009/086482 A1	7/2009	pages.	11		,				
WO	WO 2009/105710 A1	8/2009	F-8-0.							
WO WO	WO 2010/010545 A1	1/2010	* citor	d by examiner						
WO	WO 2010/046897 A1	4/2010	chec	i oy exammer						











CLOT ENGAGEMENT AND REMOVAL SYSTEM

CROSS REFERENCE TO RELATED APPLICATION

This application is a National Stage of International Application No. PCT/IE2011/000057 filed Oct. 21, 2011, which claims priority from U.S. Provisional Application No. 61/344,848 filed Oct. 22, 2010, the contents of all of which 10 are incorporated herein by reference in their entirety.

The invention relates to devices, and methods of removing acute blockages from blood vessels. The invention especially relates to removing acute obstructions from blood vessels. Acute obstructions may include clot, misplaced 15 devices, migrated devices, large emboli and the like. More particularly the invention relates to removing clot from cerebral arteries in patients suffering acute ischemic stroke.

Accessing the neurovascular bed is difficult with conventional technology as the target vessels are small in diameter, 20 are remote relative to the site of insertion and are highly tortuous. Despite the fact that there are over 600,000 acute ischemic strokes in the US each year, clot retrieval devices are used to treat patients in less than <1% of cases. The reasons for this are that conventional technology is either too 25 large in profile, lacks the deliverability to navigate tortuous vessels or is not effective at removing clot when delivered to the target site.

There are significant challenges associated with designing clot removal devices that can deliver high levels of performance. Firstly, there are a number of access challenges that make it difficult to deliver devices. In some patients the configuration of the aortic arch makes it difficult to position a guide catheter in the larger arteries that supply blood to the brain. These difficult arch configurations are classified as seither type 2 or type 3 aortic arches with type 3 arches presenting the most difficulty. The tortuousity challenge is even more severe in the arteries approaching the brain. It is not unusual at the distal end of the internal carotid artery that the device will have to navigate a vessel segment with a 180° 40 bend, a 90° bend and a 360° bend in quick succession over a few centimeters of vessel.

Secondly, neurovascular vessels are more fragile than similarly sized vessels in other parts of the body and are in a soft tissue bed. This issue is compounded by the fact that 45 in many instances the clot is firmly wedged in the vessel. More mature and organized clot material is likely to be less compressible than softer fresher clot, and under the action of blood pressure it may distend the compliant vessel in which it is lodged. Thirdly, the clot may comprise any of a range 50 of morphologies and consistencies. In particular long strands of softer clot material may tend to lodge at bifurcations or trifurcations in cerebral vessels, resulting in multiple vessels being simultaneously occluded.

Self expanding stent-like devices referred to as "stentriev-ers" are sometimes used to remove clot from cerebral vessels of acute stroke patients. These devices generally pin the clot between the device and vessel wall and embed somewhat into the clot so that the clot can be withdrawn with the device. One disadvantage with this approach is that 60 it relies on pinning the clot between the stentriever and the vessel wall and thus may not restrain the clot effectively when passing a branch vessel or when passing into a vessel that is larger than the fully expanded diameter of the stentriever. Another disadvantage of stentrievers is that they 65 use their radial force to embed in and grip the clot. With soft clot a low level of radial force may be effective, but with

2

firmer clot the level of radial force required to effectively grip the clot may be higher than that which can be safely applied to a cerebral vessel. Therefore stentrievers that have sufficient radial force to deal with all clot types may cause vessel trauma and serious patient injury, and stentrievers that have appropriate radial force to remain atraumatic may not be able to effectively handle all clot types.

The present invention is directed towards providing devices and methods which will address at least some of these issues.

STATEMENTS OF INVENTION

The invention provides a clot retrieval apparatus which comprises a clot engaging device and a capture basket. The clot engaging device has a collapsed delivery configuration and an expanded deployment configuration, the clot engaging device also has a proximal end and a distal end and an elongate body between the proximal end and the distal end. The capture basket has a collapsed delivery configuration and an expanded deployment configuration, the capture basket also has a proximal mouth which is open when the capture basket is in the deployed configuration. The clot engaging device is axially movable for capture of a clot

In one aspect the invention provides a clot retrieval apparatus comprising:

a clot engaging device and a capture basket;

the clot engaging device having a collapsed delivery configuration and an expanded deployment configuration, the clot engaging device having a proximal end and a distal end and an elongate body between the proximal end and the distal end, the clot engaging device being connected to a first elongate shaft element:

the capture basket having a collapsed delivery configuration and an expanded deployment configuration, the capture basket having a proximal mouth which is open when the capture basket is in the deployed configuration, the capture basket being connected to a second elongate shaft element, and

the shaft elements being movable relative to one another such that the clot engaging device is axially movable relative to the capture basket for capture of a clot.

In another aspect the invention provides an apparatus for retrieving clot from a blood vessel of a patient comprising: an elongate shaft, a clot engaging device and a capture basket:

the elongate shaft comprising at least two elongate elements and comprising a distal section and a proximal section, the proximal section extending exterior of the patient, and;

the clot engaging device comprising a plurality of struts defining a structure having a collapsed delivery configuration and an expanded deployment configuration, and having a proximal end and a distal end, and being attached at its proximal end to the distal section of a first elongate element of the shaft;

the capture basket comprising a structure with a capture net and an inlet mouth and having a collapsed delivery configuration and an expanded deployment configuration, and being attached at its proximal end to the distal section of a second elongate element of the shaft;

the capture basket being axially movable relative to the clot engaging device to pin a clot between the two structures.

In one embodiment at least the distal end of the clot engaging device is movable to enter the proximal mouth of the capture basket for capture of a clot.

In one case the elongate body of the clot engaging device comprises a distal section, a proximal section and an intermediate section between the proximal and the distal sections.

In one embodiment in the deployed configuration, the distal section of the clot engaging device has a diameter which is smaller than a diameter of the intermediate section. ¹⁰

In one case in the deployed configuration, the proximal section of the clot engaging device has a diameter which is smaller that a diameter of the intermediate section.

In the deployed configuration, at least the intermediate section of the clot engaging device may have a generally 15 tubular shape.

In one embodiment the clot engaging device comprises a mesh.

The mesh may comprise a plurality of struts. At least some of the struts may form closed cells.

In one embodiment the clot engaging device defines a pathway for the second shaft element.

In one case the capture basket comprises a capture net and a support frame for the capture net.

The support frame may be connected to the second shaft $\,^{25}$ element.

In one embodiment the clot retrieval apparatus comprises a control element which extends proximally from the support frame of the capture basket for operation by a user. The control element may comprise a tether for controlling the ³⁰ operation of the frame.

The invention also provides various methods for retrieving clot from a blood vessel of a patient.

In one aspect the clot retrieval method comprises the steps

providing a clot retrieval apparatus comprising an engaging device and a capture basket;

crossing a clot with a microcatheter;

advancing the clot retrieval apparatus through the microcatheter and across the clot;

deploying the capture basket distal of the clot;

deploying the clot engaging device within the clot at an initial deployment site;

retracting the clot engaging device and the capture basket together to a location proximal of the initial deployment site; 45 retracting the capture basket towards the clot engaging device to capture the clot therebetween; and

withdrawing the clot engaging device, the capture basket and the captured clot proximally.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be more clearly understood from the following description of some embodiments thereof, given by way of example only, with reference to the accompanying 55 drawings, in which:

FIG. 1 is a side view of a device according to the invention for removing an obstruction to a vessel;

FIG. 2 is a side view of a similar device for removing an obstruction to a vessel;

FIG. 3 illustrates the device of FIG. 2 with a distal basket retracted relative to a clot engaging section;

FIGS. 4 and 5 are side views of the basket of the device in the collapsed and expanded configurations respectively;

FIG. 6 is a side view of a frame in a figure of eight pattern; 65 FIG. 7 is an isometric view of a clot engaging portion of a device of the invention; and

4

FIGS. 8 to 14 illustrate one method of use of a device of the invention.

DETAILED DESCRIPTION

The invention provides an apparatus and methods for the removal of obstructions in vessels. In particular, the invention is directed towards the treatment of occlusions to blood vessels, especially arterial vessels, and more particularly the removal of occlusive clots from cerebral arterial vessels.

With reference to FIG. 1 there is shown a schematic representation of a device 1 according to the invention for the removal of an obstruction to a vessel. The device 1 comprises a clot engaging device 2 and a capture basket 3. The clot engager 2 has a collapsed state for delivery through the vasculature and an expanded state for engagement with the clot and for disengaging the clot from the vessel wall. The clot engager 2 is connected to a first elongate shaft element which in this case is provided by a support shaft 5 by means of collar 10 or by any other suitable joining method. The support shaft 5 may be connected at its proximal end to a first element 11 of a handle 4. The capture basket 3 has a collapsed configuration for delivery and an expanded configuration for clot engagement and capture. The capture basket 3 comprises a frame 7 and a capture net 8. The frame 7 is connected to a second elongate shaft element which in this case is provided by a support shaft 9. The shaft 9 may be connected at its proximal end to a second element 12 of the handle 4.

The device is configured so that relative movement may be effected between the clot engager 2 and the capture basket 3. In the embodiment shown this movement may be effected by relative movement of components 11 and 12 of the handle 4 which are connected to the shaft elements 5, 9. In another embodiment the handle 4 is detachable from the shaft and in yet another embodiment the device is configured without any handle.

In one embodiment the device is configured so that at least a distal portion of the clot engager 2 can enter the capture basket 3. The degree to which the clot engager can enter the basket 3 may be controlled by limiting the travel of the shaft 9 relative to the shaft 5. The limiter may be provided for example by a stop on the shaft 9 as the clot engager 2 is connected to the shaft 5 and the basket is connected to the shaft 9.

In one case the clot engager 2 comprises multiple struts. The struts may form at least one closed cell. The shaft 9 may pass through at least one of the closed cells. In another embodiment the clot engager 2 comprises multiple struts, forming multiple cells and forming a generally tubular shape, with at least one open seam along the length of the engager 2, and with the shaft 9 passing through one of said seams. In one embodiment the shaft 9 is positioned partially within and partially outside of the clot engager 2 in the expanded configuration and fully within the clot engager in the wrapped configuration, passing from within to without via a pathway defined by a seam extending to the distal end of the device.

FIG. 2 illustrates a device similar to FIG. 1 in which like parts were assigned the same reference numerals. In this case the clot engager 2 is positioned proximal of the capture basket 3. FIG. 3 shows the device of FIG. 2 in a configuration in which the capture basket 3 has been retracted over the distal end of the clot engager 2 by movement of the second handle element 12 relative to the first handle element 11.

FIGS. 4 and 5 are side views of the proximal and distal ends of a device of the invention in which a clot engager portion is omitted. In the embodiment illustrated the capture basket 3 has a control tether 6 that can be tensioned to control the expansion of the frame 7 of the clot basket 3 or 5 to increase the radial force of the frame 7 of the clot basket 3. The user can control the diameter to which the frame 7 expands by controlling the position of a movable control element such as a button 30 on the handle 4. FIG. 4 shows the control button 30 in an advanced position with the basket 3 in a collapsed configuration. This collapsed configuration of the device facilitates loading and advancement through a microcatheter. In this configuration the frame 7 has a low expansion force and is thus very flexible and easy to deliver.

FIG. 5 shows the frame 7 in an expanded configuration. 15 In this configuration the control button 30 is in a retracted position to tension and withdraw the tether 6 and thus expand and strengthen the frame 7. In one embodiment the frame 7 and the basket 3 can be set to different diameters by adjusting the position of the button 30.

FIG. 6 illustrates one embodiment of a frame 31 in which the frame 31 is formed in a figure of eight pattern so that frame struts 33 and 34 at crossover point 32 can move relative to each other to facilitate the adjustment of the frame to different vessel diameters.

FIG. 7 is an isometric view of a clot engagement device 2 of the invention. The clot engagement device 2 has a proximal end 41 and a distal end 46, and between these ends there are a proximal section 42, a mid section 43 and a distal section 44. Multiple struts 47 connect one end of the device 30 to the other. In certain sections of the device the struts 47 are configured to form cells 40 with connecting apices 45. In one case (as shown in FIG. 7) the distal section 44 has a smaller diameter than the mid section 43, so that the distal section may enter the mouth of a basket or capture net (not 35 shown). In one case (as shown in FIG. 7) the cells 40 are not fully connected around the device circumference at all points along the length of the device, effectively leaving an open seam 48 extending from the proximal end to distal end. This seam provides a pathway through which an elongate 40 shaft entering the device through the open mouth of section 40 may exit the middle section 43 or distal section 44 of the device, such as shown in FIGS. 1, 2 and 3. Thus the distal end of device 2 may enter the mouth of a basket attached to the end of such a shaft, such as illustrated in FIG. 3 by way 45 of example. In another embodiment the seam may run only partially along the length of the device, spanning at least the distal section 44 where it provides an exit pathway for the elongate shaft. This seam may run axially along the device as shown or may run in a more helical-like pattern around 50 the device, or may be staggered and discontinuous, said seam patterns providing additional engagement features to aid in gripping of the target clot. The distal end 46 is in this case configured to enter capture basket 3 of the device of shown to facilitate this entry by providing a smooth and snag free surface to contact and pass through the inlet mouth 34 of the frame 31 in FIG. 6 of a capture basket

The material of the device may be nitinol or another superelastic or shape memory material, and may be formed 60 from multiple wires or may be cut from a tube or a flat sheet, and may be heat set to define a preferred expanded geometry.

FIGS. 8 to 14 show a series of procedural steps associated with using the device 1. The standard steps involved to place a guide or sheath access catheter and cross the clot with a 65 guidewire and microcatheter are not shown, but will be easily understood by one skilled in the art.

6

FIG. 8 shows a clot 51 lodged in a bifurcation with vasculature 50.

FIG. 9 shows a microcatheter 52 that has been advanced across the clot 51, typically with the aid of a guidewire (not shown) which is then withdrawn to leave the lumen of the microcatheter free for the advancement of a clot retrieval

The clot retrieval device is then loaded into the proximal end of the microcatheter 52 and advanced to a target deployment site with the aid of fluoroscopy. The device is positioned so that the capture basket 3 is distal of the clot 51 and at least a portion of the engager 2 is within the clot. The microcatheter 52 is then retracted allowing the devices to deploy and expand as shown in FIG. 10. The clot engager 2 expands and engages with body of the clot 51. If the basket 3 employs a tether activation system as described above the tether may be tensioned to effect deployment of the basket 3 or to increase the opening force so that the basket can engage and encapsulate clot without collapse.

The clot engager 2 is then retracted, pulling the clot 51 out of the bifurcation and into a more proximal section of the vasculature as shown in FIG. 11.

The capture basket 3 can then be retracted to encapsulate some or all of the clot 51 and the 30 engager 2 and to pin the clot 51 between the engager 2 and the basket 3 as shown in FIG. 12.

The engager 2, basket 3 and captured clot 51 can then be safely withdrawn proximally with clot held securely as the system passes any branch vessels and into larger proximal vasculature as shown in FIG. 13.

FIG. 14 shows the device and clot being withdrawn into a guide catheter or sheath 55.

Removal of the device and captured clot may be assisted by the use of a distal access catheter or similar device with or without the use of aspiration. A flow obstructing cuff on the distal end of a guide catheter or sheath may also be employed to aid in the effectiveness of the use of aspiration while extracting the device and captured clot from the

An alternative sequence of steps that may be used with the device of this invention would involve:

crossing the clot with a microcatheter as described above; advancing the clot retrieval device through the microcatheter and across the clot;

withdrawing the microcatheter to a location proximal of the clot to leave the capture basket deployed distal of the clot and the clot engager deployed within the clot; retracting the clot engager and capture basket together to a location proximal of the initial deployment site;

retracting the capture basket a further distance towards and over the clot engager; and

withdrawing the clot engager, the capture basket and the captured clot proximally out of the patient.

Another of the many ways in which a device of this FIG. 1. Distal struts 49 act as guide rails in the embodiment 55 invention may be employed involves the use of a variant of the device without a handle or with a detachable handle. Such a device may be deployed across the clot as described in one of the methods above, after which the basket may be left in place protecting the distal vessel bed while the clot engager is advanced and withdrawn multiple times to remove clot. This system has the advantage that the basket shaft acts a guide rail to facilitate rapid re-advancement of the clot engager to the target site on each pass. An extension wire may be added to the proximal end of the basket shaft 9 to enable the user to maintain good control of the basket while removing the clot engager from the patient between passes. Alternatively the clot engager 2 and its shaft 5 may

be configured in the manner of a rapid exchange catheter so that they can be advanced and retracted over the basket shaft 9 without the need for any extension wire.

It will be understood from all of the above that this device has features that enable it to be used in a variety of different 5 ways to retrieve obstructions from vessel, so that the precise method of use can be tailored to suit the specific needs of any given situation. The clot engager is designed to engage with clot by means of the engager struts, and more specifically the distal apices of the engager cells, embedding in and snagging the clot. Expansion of the engager also pins the clot between the engager and the vessel wall and assists this embedding process. The basket frame 7 is designed to engage the clot from its distal end and assists in guiding the capture net 8 over the clot. The frame 7 and engager 2 can 15 also be used to pin the clot between these two elements of the device. This is of particular advantage in ensuring that a firm grip is held on the clot as it is withdrawn against the flow past branch vessels and into larger more proximal vasculature. The clot engager may also be employed to 20 constrain the clot while the basket is withdrawn over the clot. This may be of particular advantage in cases where the clot is firmly lodged in the vessel and a significant force is required to disengage and remove it. Using the clot engager in a compressive mode while retracting the basket over the 25 clot shields the distal vasculature from potentially traumatic tensile forces that would otherwise be exerted on them.

Accessing cerebral vessels involves the use of a number of commercially available products and conventional procedural steps. Access products such as guidewires, guide 30 catheters and microcatheters are known and are regularly used in procedures carried out in cerebral vessels. Such systems are described for example in WO2010/010545A and U.S.20110160763A the entire contents of which are incorporated herein by reference.

Modifications and additions can be made to the embodiments of the invention described herein without departing from the scope of the invention. For example, while the embodiments described herein refer to particular features, the invention includes embodiments having different combinations of features. The invention also includes embodiments that do not include all of the specific features described.

The invention is not limited to the embodiments hereinbefore described which may be varied in construction and 45 detail.

The invention claimed is:

- 1. A clot retrieval apparatus, comprising:
- a handle;
- a clot engaging device located distally of the handle and 50 having a collapsed delivery configuration and an expanded deployment configuration, the clot engaging device having a proximal end and a distal end and an intermediate body section between the proximal end and the distal end, the clot engaging device being 55 connected to a first elongate shaft element;
- a capture basket located distally of the clot engaging device and having a collapsed delivery configuration and an expanded deployed configuration, the capture basket having a proximal mouth formed by a proximal 60 mouth structure, the proximal mouth being open when the capture basket is in the expanded deployed configuration, a distalmost end of the proximal mouth structure being located at the proximal end of the capture basket, the capture basket being connected to a 65 second elongate shaft element at a first portion of the proximal mouth structure;

8

- a control tether coupled to the proximal mouth at a second portion of the proximal mouth structure and selectively movable to adjust an orientation of the proximal mouth in the expanded deployed configuration, the second portion of the proximal mouth structure being different than the first portion of the proximal mouth structure, and
- the first and second shaft elements being movable relative to one another such that the clot engaging device is axially movable relative to the capture basket,
- wherein the distal end of the clot engaging device has a radial size smaller than a radial size of the intermediate body section and of the proximal mouth of the capture basket.
- 2. A clot retrieval apparatus as claimed in claim 1 wherein the clot engaging device is formed of a plurality of struts with at least some of the struts forming closed cells.
- 3. A clot retrieval apparatus as claimed in claim 1 wherein the clot engaging device includes an open seam forming a pathway for the second shaft element.
- **4**. A clot retrieval apparatus as claimed in claim **1** wherein the proximal mouth structure includes a support frame and the capture basket comprises a capture net coupled to the support frame.
- **5**. A clot retrieval apparatus as claimed in claim **1**, wherein each of the first and second shaft elements and control tether extend proximally to the handle.
- **6**. A clot retrieval apparatus as claimed in claim **1**, wherein the control tether is movable relative to the first and second shaft elements.
- 7. A clot retrieval apparatus as claimed in claim 1, wherein the proximal mouth structure includes a frame forming two loops joined at a common point.
- 8. A clot retrieval apparatus as claimed in claim 7, wherein 35 the two loops are formed by a frame member in a figure-eight pattern.
 - **9**. A clot retrieval apparatus as claimed in claim **7**, wherein the control tether is connected to one loop, and the second shaft element is connected to the other loop.
 - 10. A clot retrieval apparatus, comprising:
 - a handle:
 - a clot engaging device located distally of the handle having a collapsed delivery configuration and an expanded deployed configuration, the clot engaging device including a body having
 - a plurality of struts forming a plurality of closed cells,
 - a proximal end.
 - an intermediate section, and
 - a distal end, and
 - the clot engaging device being connected to a first elongate shaft element; and
 - a capture basket located distally of the clot engaging device and having a collapsed delivery configuration and an expanded deployed configuration, the capture basket having a proximal mouth formed by a proximal mouth structure coupled to a control member and open when the capture basket is in the expanded deployed configuration, a distalmost end of the proximal mouth structure being located at the proximal end of the capture basket the control member movable between a first position in which the proximal mouth in the expanded deployed configuration is at a first radial orientation relative to the control member, and a second position in which the proximal mouth in the expanded deployed configuration is at a second radial orientation relative to the control member, the capture basket being connected to a second elongate shaft element at a first

location along the proximal mouth structure and the control member being connected to the capture basket at a second location along the proximal mouth structure, the second location being spaced from the first location, and

the first and second shaft elements being movable relative to one another such that the clot engaging device is axially movable relative to the capture basket wherein, in the expanded deployed configuration, the distal end of the clot engaging device has a radial size which is 10 smaller than a radial size of the intermediate section and of the proximal mouth of the capture basket.

11. A clot retrieval device as claimed in claim 10 wherein the clot engaging device includes an open seam forming a pathway for the second shaft element.

- 12. A clot retrieval apparatus as claimed in claim 10 wherein the proximal mouth structure includes a support frame and the capture basket comprises a capture net coupled to the support frame.
- 13. A clot retrieval apparatus as claimed in claim 10, 20 wherein each of the first and second shaft elements and control member extend proximally to the handle and are movable relative to one another.
 - 14. A clot retrieval apparatus, comprising:
 - a handle;
 - a closed-cell cage having a collapsed delivery configuration and an expanded deployment configuration, the closed-cell cage having a proximal end connected to a first elongate member; and
 - a capture basket located distally of the closed-cell cage 30 and having a collapsed delivery configuration and an expanded deployed configuration, the capture basket

10

having an adjustable mouth structure coupled to a second and third elongate members;

the closed-cell cage having a distal end sized to be received within the adjustable mouth structure of the capture basket,

the first, second, and third elongate members being movable relative to one another, and

- a porosity of the closed-cell cage is greater than a porosity of the capture basket.
- 15. A clot retrieval apparatus as claimed in claim 14, wherein one of the second or third elongate members is a tether connected to a distalmost end of the adjustable mouth structure.
- **16**. A clot retrieval apparatus as claimed in claim **14**, wherein the a distalmost end of the adjustable mouth structure is located at a proximal end of the capture basket.
- 17. A clot retrieval apparatus as claimed in claim 14, wherein the closed-cell cage includes a tubular shape and tapering distal end.
- 18. A clot retrieval apparatus as claimed in claim 14, wherein at least one of the second or third elongate members extends through an inner portion of the closed-cell cage.
- 19. A clot retrieval apparatus as claimed in claim 18, wherein the at least one second or third elongate members extending through an inner portion of the closed-cell cage extend through at least one closed cell at the distal end of the closed-cell cage.
- 20. A clot retrieval apparatus as claimed in claim 18, wherein both the second and third elongate members extending through an inner portion of the closed-cell cage.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO. : 9,463,036 B2 Page 1 of 1

APPLICATION NO. : 13/823048

DATED : October 11, 2016

INVENTOR(S) : Eamon Brady et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Claims

Claim 16, Column 10, Line 16, "wherein the a distalmost end" should read --wherein a distalmost end-

_

Signed and Sealed this Twenty-seventh Day of December, 2016

Michelle K. Lee

Michelle K. Lee

Director of the United States Patent and Trademark Office